

Applicant or Patentee:
Attorney's Docket No.:
Serial or Patent No:
Filed or Issued:
For:

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9((f) and 1.27 (b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled **Method And Apparatus For Circumferential Application of Materials To An Interior Surface Of A Curved Pipe** described in

(xx) the specification filed herewith
() application serial no. , filed
() patent no. , issued

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

() no such person, concern, or organization
(xx) persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME: **Almac Machine Works Ltd.**

ADDRESS: **9624 - 35 Avenue, Edmonton, Alberta, T6E 5S3, Canada**

() INDIVIDUAL (xx) SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Applicant or Patentee:
Attorney's Docket No.:
Serial or Patent No.:
Filed or Issued:
For:

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to
act on behalf of the concern identified below:

FULL NAME OF CONCERN: **Almac Machine Works Ltd.**

ADDRESS: **9624 - 35 Avenue, Edmonton, Alberta, T6E 5S3, Canada**

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **Method And Apparatus For Circumferential Application of Materials To An Interior Surface Of A Curved Pipe** by inventors **Fred Harmat and Graeme Van Dongen** described in

- ☒ the specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held to any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR

Small Entity Declaration

2

1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Rollin Donald Marcotte

TITLE OF PERSON OTHER THAN OWNER: **President**

ADDRESS OF PERSON SIGNING: 11503 - 13 Avenue, Edmonton, Alberta,
T6J 7A3, Canada

SIGNATURE

Rhonda

DATE _____

SEPT 20, 1999

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if one name is listed below) or an original, first and joint inventor (if more than one name is listed below) of the subject matter which is disclosed and/or claimed and for which a patent is sought on the invention entitled: **Method And Apparatus For Circumferential Application of Materials To An Interior Surface Of A Curved Pipe** the specification of which

xxx is attached hereto (as amended on _____)

_____ WAS FILED _____ as Application Serial No. _____ and was amended on _____.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, S1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, s.119, of any foreign applications for patent or inventor's certificate or of any PCT international application designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application of which priority is claimed:

Prior Foreign Applications

Priority Claimed

<u>Not Known</u>	<u>Canada</u>	<u>14 September, 1999</u>	<u>xx</u>	
Number	Country	Day/Month/Year filed	Yes	No

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith: Bruce E. O'Connor, Reg. No. 24,849; Lee E. Johnson, Reg. No. 22,946; Gary S. Kindness, Reg. No. 22,178; James W. Anable, Reg. No. 26,827; James R. Uhler, Reg. No. 25,096; Jerald E. Nagae, Reg. No. 29,418; Thomas F. Broderick, Reg. No. 31,332; Dennis K. Shelton, Reg. No. 26,997; Jeffrey M. Sakoi, Reg. No. 32,059; Ward Brown, Reg. No. 28,400; Robert J. Carlson, Reg. No. 35,472; Marcia S. Kelbon, Reg. No.

Declaration for application 2

34,358; Paul L. Gardner, Reg. No. 22,372; and the firm of Christensen, O'Connor, Johnson & Kindness^{PLLC} and Anthony R. Lambert, regn. no. 32,813.

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1420 Fifth Avenue, Suite 2800
Seattle, WA 98101-2347

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Fred Harmat

FULL NAME OF SOLE/FIRST INVENTOR

F. Harmat

INVENTOR'S SIGNATURE

20-Sept-99

DATE

Edmonton, Alberta, Canada

RESIDENCE

Canadian

CITIZENSHIP

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POST OFFICE ADDRESS

Graeme Van Dongen

FULL NAME OF JOINT/SECOND INVENTOR

Graeme Van Dongen

INVENTOR'S SIGNATURE

20 SEPT. 99

DATE

Edmonton, Alberta, Canada

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#804, 10125 - 109 Street, Edmonton, Alberta, T5J 3P1, Canada

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